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Unusual nucleophilic substitution in the nitrophthalimide series

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The reactions of 3- and 4-nitrophthalimides with hydroxylamine in aqueous alcohol media were studied. A mixture of 3-amino-4-nitro- and 4-amino-5-nitrophthalimides is formed in the case of 4-nitrosubstituted derivative, whereas 3,6-dihydroxyphthalimide is unexpectedly found to be the main product of the reaction of 4-nitrosubstituted derivative. A possible mechanism of the transformation was suggested.

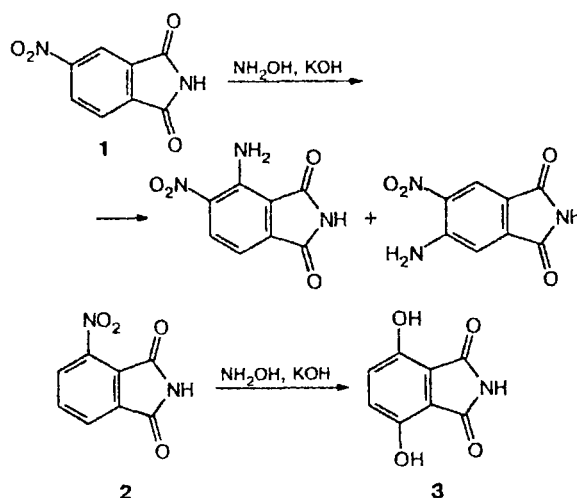
Key words: phthalimide, hydroxylamine, nucleophilic substitution, 3,6-dihydroxyphthalimide.

Many works, summarized in the monograph,¹ are devoted to the chemistry of hydroxylamine. These studies showed that hydroxylamine is a convenient aminating reagent, which allows amino groups to be inserted in electron-deficient heterocycles, for example, in nitrosubstituted derivatives of quinoline.² Aromatic compounds activated by electron-withdrawing substituents also react sufficiently readily, for example, *m*-dinitrobenzene affords 2,4-dinitroaniline in high yield.²

Substituted phthalimides are the starting compounds in the synthesis of the corresponding phthalonitriles,³ phthalocyanines, and tetrabenzoporphines, which are of unambiguous practical interest as mesomorphic⁴ and nonlinear-optic⁵ materials, dyes and pigments, whose properties are mainly dependent on the number and nature of substituents in the macrocycle periphery.⁴

In order to obtain isomeric nitroaminophthalimides, we studied the reactions of hydroxylamine with 4- and 3-nitrophthalimide (1 and 2) in aqueous-alcohol media in the presence of KOH.

In the presence of 4-nitrophthalimide, as should be expected, we obtained a mixture of 3-amino-4-nitro-



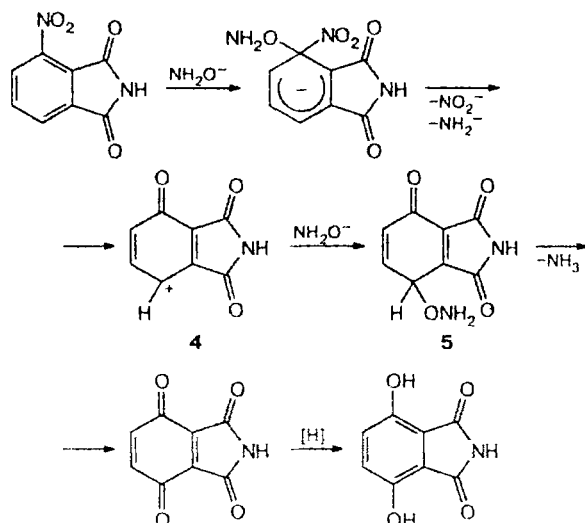
and 5-amino-4-nitrophthalimides with a ~60 : 40 isomer ratio (according to the ¹H NMR spectroscopic data). The presence of the nitro and amino groups in the

compounds obtained is confirmed by the data of elemental analysis and IR and ^1H NMR spectroscopy. The compounds obtained are capable of diazotization by nitrosylsulfuric acid and of coupling with β -naphthol to give a red pigment.

However, the compound formed from 3-nitrophthalimide under the same conditions did not give the qualitative reaction of the primary amino group and was identified, according to the data of elemental analysis and mass, ^1H , and IR spectroscopies, as 3,6-dihydroxyphthalimide (3). After single recrystallization from water, imide 3, according to the ^1H NMR spectroscopic data, contained almost no noticeable amounts of admixtures. The IR spectrum of 3 exhibited bands assigned to stretching vibrations of C—H, O—H, N—H, C=O, and C—O bonds, and the band corresponding to stretching vibrations of O—H bonds was substantially broadened and shifted to the low-frequency region, which indicates that hydrogen atoms of hydroxyl groups participate in the formation of the intramolecular hydrogen bond.⁶

It is sufficiently difficult to explain the formation of compound 3 on the basis of traditional concepts on the mechanism of nucleophilic addition reactions. The published data⁷ show that hydroxylamine and its derivatives can react as *O*-nucleophiles rather than *N*-nucleophiles. In particular, the reaction of *N*-methylhydroxylamine with ethyl ester of cinnamic acid in the presence of bases was studied.⁸ It is shown that in this case, the MeNHO^- anion is the reacting particle, which reacts with the substrate (Michael addition) only at the oxygen atom of hydroxylamine.

The formation of 3 cannot occur as the successive introduction of two OH groups, because the electron donor that has already reacted deactivates substantially the *para*-position thus preventing the second act of the transformation. We believe that the formation of 3 can occur through intermediate 4 of the quinoid type according to the following scheme:



The scheme suggested explains satisfactorily the observed experimental facts. For example, it is established that the mixture gains a red color during the reaction and decolorizes only after some time. This can be due to the formation of a sufficiently stable intermediate of the quinoid type. No admixture of the 3,4-isomer was found in the reaction mass, which can be explained by the high stability of the intermediate 5 compared to an isomeric complex, which could be formed during the nucleophilic attack at position 4. In the case of 4-nitrophthalimide, the formation of intermediate similar to 4 is impossible, and the reaction occurs *via* the traditional mechanism of nucleophilic substitution.⁹

Experimental

Mass spectra were recorded on an LKB 9000S instrument. ^1H NMR spectra were recorded on a Tesla 587 FT instrument with a working frequency of 80 MHz, and IR spectra were recorded on a Bio-Rad FTS instrument.

3,6-Dihydroxyphthalimide (3). 3-Nitrophthalimide (5 g) obtained from 3-nitrophthalic acid (chemically pure grade) according to the known procedure¹⁰ and pre-powdered hydroxylamine sulfate (11 g) were successively added to propan-2-ol (90 mL). The mixture was thoroughly stirred, and KOH (6 g) in water (5 mL) was added as one portion. The reaction mass gained a red color, and its temperature increased spontaneously to 40 °C. The solution obtained was stirred for 30 min. Then the precipitate formed was filtered off, twice recrystallized from water, and dried at 80 °C to obtain 3 as crystal hydrate (1.4 g) in the form of yellowish needles with m.p. 225–227 °C. After drying *in vacuo* (0.1 Pa) at 120 °C, we obtained 3 (1.12 g, 24%) as a yellowish powder with m.p. 270–273 °C. Found (%): N, 8.05. $\text{C}_8\text{H}_5\text{NO}_4$. Calculated (%): N, 7.82. IR (KBr), ν/cm^{-1} : 3403 (N—H); 3147 (O—H); 2928 (C—H); 1713 (C=O); 1465 (C=C); 1187 (C—N); 1136 (C—C); 1014 (C—O). ^1H NMR (DMSO- d_6), δ : 10.02 (s, 1 H, N—H); 7.84 (s, 2 H, C— H_{arom}). MS (EI, 70 eV, 130 °C), m/z (I_{rel} (%)): 179 [M^+] (4.3), 178 [$\text{M}-1^+$] (25.8), 163 [$\text{M}-16^+$] (100), 146 [$\text{M}-33^+$] (40), 132 [$\text{M}-47^+$] (68.8), 119 [$\text{M}-60^+$] (10.7), 104 [$\text{M}-75^+$] (100), 89 [$\text{M}-90^+$] (12.9), 76 [$\text{M}-103^+$] (36.6), 75 [$\text{M}-104^+$] (81.7).

Amination of 4-nitrophthalimide. 4-Nitronaphthalimide (5 g) and pre-powdered hydroxylamine sulfate (11 g) were placed in propan-2-ol (90 mL). The mixture was thoroughly stirred, and KOH (6 g) in water (5 mL) was added as one portion. The reaction mass gained a red color, and its temperature increased spontaneously to 30 °C. The solution obtained was stirred for 30 min. The precipitate formed was filtered off, washed with water and propan-2-ol, and dried at 120 °C. A mixture (3.9 g, 72.4%) of 3-amino-4-nitro- and 5-amino-4-nitrophthalimides in the form of yellowish powder with m.p. 260–263 °C was obtained. Found (%): N, 18.70. $\text{C}_8\text{H}_5\text{N}_3\text{O}_2$. Calculated (%): N, 20.30. IR (KBr), ν/cm^{-1} : 3432 (N—H); 1784 (C=O); 1752 (C=O); 1568 (N=O); 1344 (N=O). ^1H NMR (DMSO- d_6), δ : 10.1 (s, 1 H, N—H); 8.0–6.0 (m, 2 H, C— H_{arom}); 3.8–4.4 (br.s, 2 H, NH_2).

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